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PTO/SB/21 (04-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/657,978 **TRANSMITTAL** Filing Date September 9, 2003 **FORM** First Named Inventor Kazunari Hanano Art Unit (to be used for all correspondence after initial filing) 2875 Examiner Name Not Yet Known Attorney Docket Number SAS2-PT059 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts

under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Gerald B. Halt, Jr. Reg. No. 37,633 Individual name Volpe and Koepin PC Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Gerald B. Halt, Jr. Date Signature

This collection of information is required by 37/CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35/J.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Our File: SAS2-PT059

June 8, 2004

Date:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Kazunari Hanano

Application No.:

10/657,978

Confirmation No.:

1280

Filed:

September 9, 2003

For: ILLUMINATION APPARATUS AND IMAGE PROJECTION APPARATUS USING

THE ILLUMINATION APPARATUS

Group:

2875

Examiner:

Not Yet Known

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT INCLUDING RULE 1.704(d) STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed PTO-1449 form. Copies of all the cited documents are enclosed.

Per Rule 1.704(d), the undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, copy enclosed, and that this communication was not received by any individual designated in §1.56(c) more than thirty (30) days prior to the filing of the information disclosure statement.

Applicant: Kazunari Hanano Application No.: 10/657,978

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO-1449 form indicating his/her consideration of the cited materials.

Respectfully submitted,

Kazunari Hanano

By_

Gerald B. Halt, Jr.

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GBH/kag Enclosures

Sheet 1 of 1 FORM PTO-1449 ATTY. DOCKET NO. SERIAL NO. SAS2-PT059 10/657,978 U.S. DEPARTMENT OF COMMERCE **APPLICANT** PATENT AND TRADEMARK OFFICE Kazunari Hanano INFORMATION DISCLOSURE **FILING DATE GROUP** STATEMENT BY APPLICANT September 9, 2003 2875 (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** EXAMINER INITIAL FILING DATE IF APPROPRIATE DOCUMENT NUMBER DATE SUBCLASS NAME CLASS AG 5,752,766 5/1998 Bailey et al. FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO AH 02257191 10/1990 Χ Japan ΑI 02257193 Χ 10/1990 Japan OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED

EXAMINER